

Accessing Client Novation Letters

As Novation mailings have now begun and letters are sent to the eligible Clients, these letters will now be available to view in the Novation section of the Equitable.com Portal.

To locate a specific client letter: Navigate to the Portal Home Page and click on the Novation Section

For Equitable Advisors:

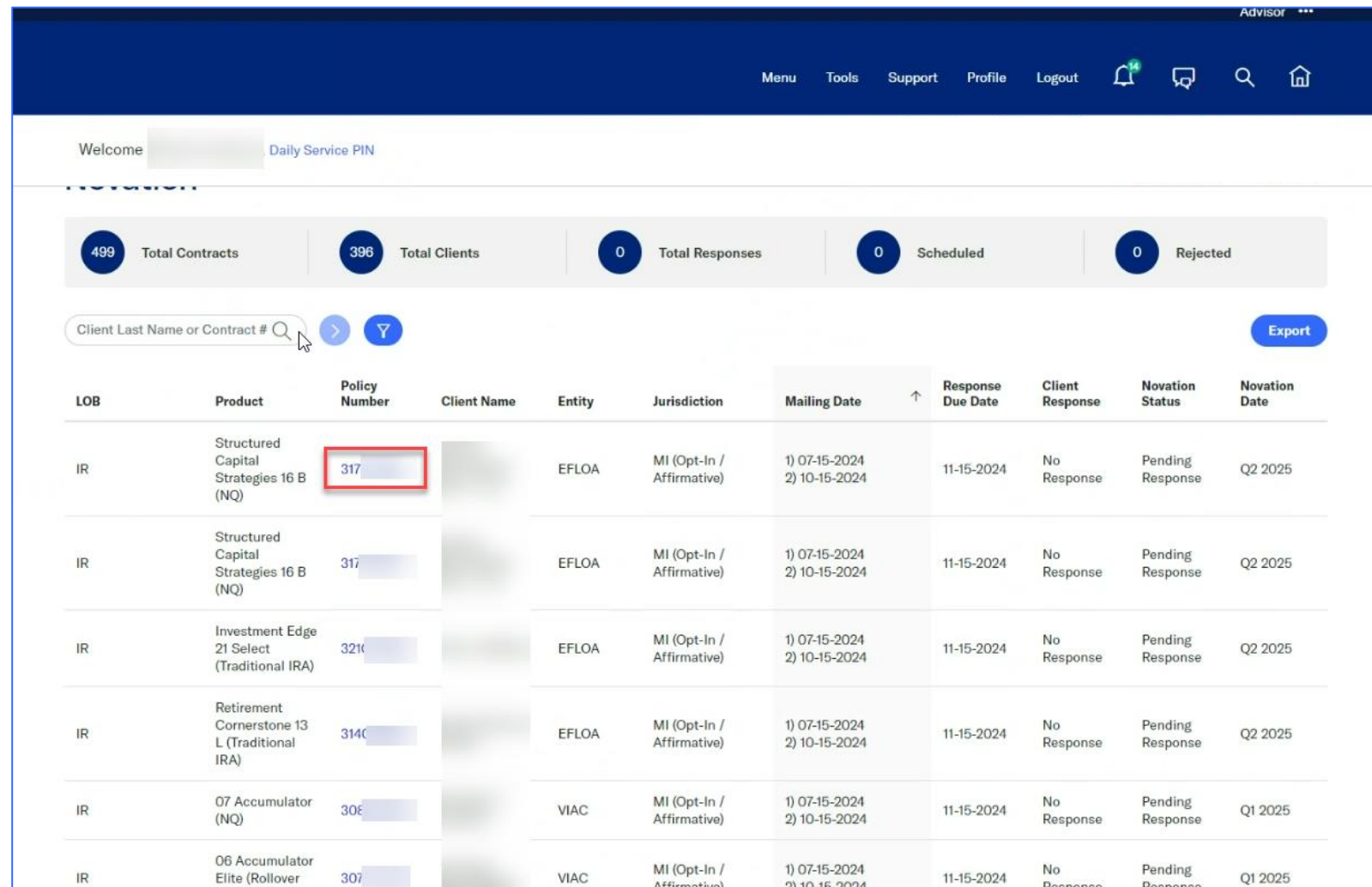
The screenshot shows the Equitable Advisors portal interface. The top navigation bar includes the Equitable logo, 'ADVISORS', and various utility links like Menu, Tools, Support, Profile, and Logout. The main content area is divided into several sections. A red arrow points to the 'Novation' section, which is highlighted with a red border. This section displays 'Total In Scope' metrics: 499 Contracts, 396 Clients, and 0 Responses. Below this, it shows 'Status' metrics: 0 Scheduled, 0 Rejected, and 0 Descoped. Other sections visible include a calendar, Client Management, and various reports.

For Third-party Advisors:

The screenshot shows the Equitable portal interface for third-party advisors. The top navigation bar includes the Equitable logo, 'EQUITABLE', and utility links like Menu, Quicklinks, Profile, Logout, and a search icon. The main content area features a 'Welcome' message and several key performance indicators. A red arrow points to the 'Novation' section, which is highlighted with a red border. This section displays 'Total In Scope' metrics: 13 Contracts, 11 Clients, and 0 Responses. Below this, it shows 'Status' metrics: 0 Scheduled, 0 Rejected, and 0 Descoped. Other sections visible include 'Annuity Book of Business' with a total value of \$2,760,061, 'New Business' with a note that there are no pending cases, 'Alerts' with 4 unread alerts, and 'Policy Statements'.

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Contract/Policy Numbers in the list where a mailing has gone out, will be a blue hyperlink. Click this hyperlink to view the available documents for review associated to that contract/policy.

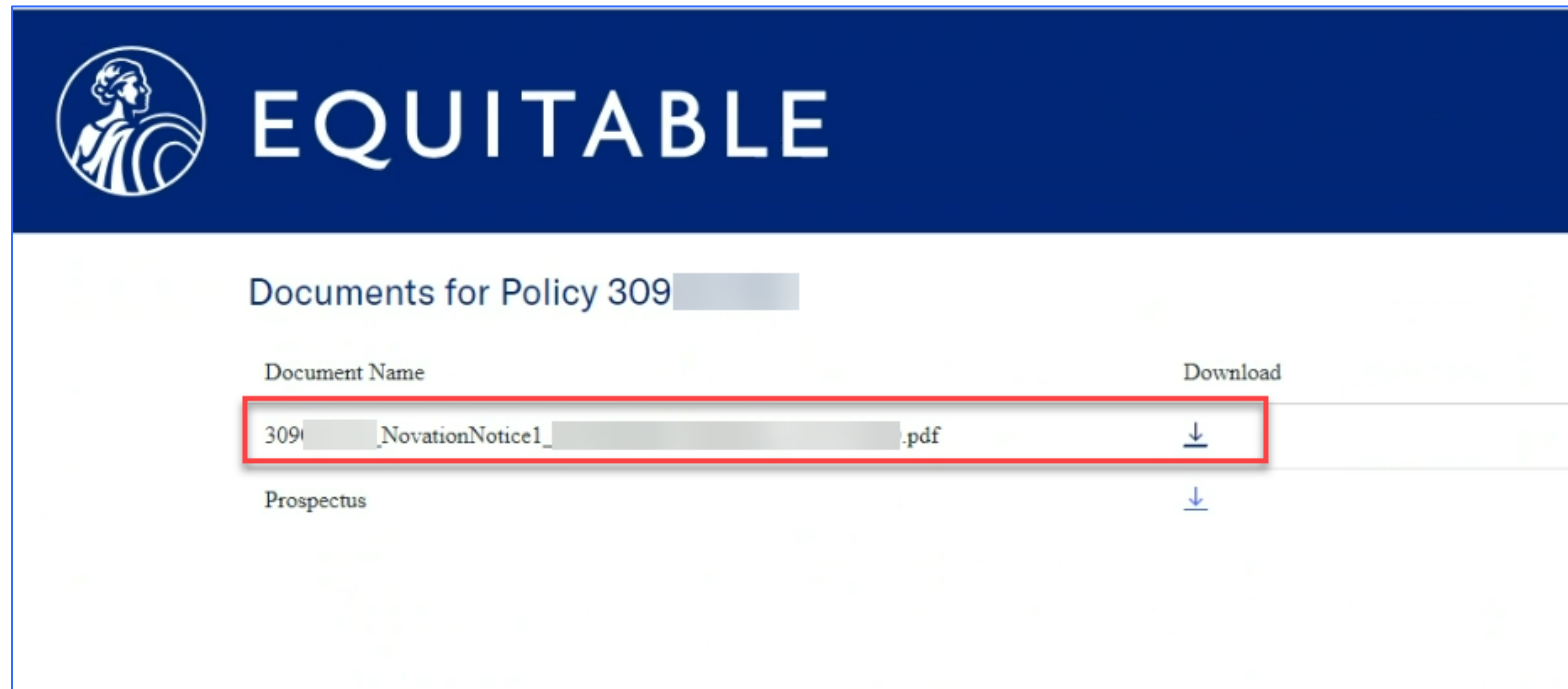


The screenshot displays the Equitable client portal interface. At the top, there is a navigation bar with 'Advisor' and icons for Menu, Tools, Support, Profile, Logout, and notifications. Below the navigation bar, a welcome message and 'Daily Service PIN' are visible. A summary bar shows statistics: 499 Total Contracts, 396 Total Clients, 0 Total Responses, 0 Scheduled, and 0 Rejected. A search bar is labeled 'Client Last Name or Contract #' and includes a search icon and a filter icon. An 'Export' button is located to the right of the search bar. The main content is a table with the following columns: LOB, Product, Policy Number, Client Name, Entity, Jurisdiction, Mailing Date, Response Due Date, Client Response, Novation Status, and Novation Date. The first row of data has a red box around the 'Policy Number' '317', which is a blue hyperlink. The table contains six rows of data, all with 'No Response' and 'Pending Response' status.

LOB	Product	Policy Number	Client Name	Entity	Jurisdiction	Mailing Date	Response Due Date	Client Response	Novation Status	Novation Date
IR	Structured Capital Strategies 16 B (NQ)	317		EFLOA	MI (Opt-In / Affirmative)	1) 07-15-2024 2) 10-15-2024	11-15-2024	No Response	Pending Response	Q2 2025
IR	Structured Capital Strategies 16 B (NQ)	317		EFLOA	MI (Opt-In / Affirmative)	1) 07-15-2024 2) 10-15-2024	11-15-2024	No Response	Pending Response	Q2 2025
IR	Investment Edge 21 Select (Traditional IRA)	321		EFLOA	MI (Opt-In / Affirmative)	1) 07-15-2024 2) 10-15-2024	11-15-2024	No Response	Pending Response	Q2 2025
IR	Retirement Cornerstone 13 L (Traditional IRA)	314		EFLOA	MI (Opt-In / Affirmative)	1) 07-15-2024 2) 10-15-2024	11-15-2024	No Response	Pending Response	Q2 2025
IR	07 Accumulator (NQ)	30E		VIAC	MI (Opt-In / Affirmative)	1) 07-15-2024 2) 10-15-2024	11-15-2024	No Response	Pending Response	Q1 2025
IR	06 Accumulator Elite (Rollover)	307		VIAC	MI (Opt-In / Affirmative)	1) 07-15-2024 2) 10-15-2024	11-15-2024	No Response	Pending Response	Q1 2025

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Here the letters sent regarding Novation along with any prospectus and supplements are available for review.



The screenshot displays the Equitable logo and the text "EQUITABLE" at the top. Below this, it shows "Documents for Policy 309 [redacted]". A table lists documents with columns for "Document Name" and "Download". The first row shows a document named "309[redacted]_NovationNotice1_[redacted].pdf" with a download icon. The second row shows "Prospectus" with a download icon. A red box highlights the first row, and a blue box highlights the download icon for the first document.

Document Name	Download
309[redacted]_NovationNotice1_[redacted].pdf	↓
Prospectus	↓